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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO |
|--|----------------|----------------------|----------------------|-----------------|
| 09/856,451 | 06/25/2002 | John Michael Beals | X-12553 | 8155 |
| 75 | 590 09/15/2005 | • | EXAMINER | |
| Mark J Stewart | | | MAYER, SUZANNE MARIE | |
| Eli Lilly & Company Lilly Corporate Center DC 1104 | | ART UNIT | PAPER NUMBER | |
| Indianapolis, IN 46285 | | | 1653 | |

DATE MAILED: 09/15/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

| Supplementary | Application No. | Applicant(s) | | | | |
|---|--|---|--|--|--|--|
| | 09/856,451 | BEALS ET AL. | | | | |
| Office Action Summary | Examiner | Art Unit | | | | |
| | Suzanne M. Mayer, Ph.D. | 1653 | | | | |
| The MAILING DATE of this communication app Period for Reply | ears on the cover sheet with the c | correspondence address | | | | |
| A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply - If NO period for reply is specified above, the maximum statutory period v - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). | 36(a). In no event, however, may a reply be ting within the statutory minimum of thirty (30) day will apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE | nely filed s will be considered timely. the mailing date of this communication. D (35 U.S.C. § 133). | | | | |
| Status | | | | | | |
| 1) Responsive to communication(s) filed on | | | | | | |
| • | action is non-final. | | | | | |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is | | | | | | |
| closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. | | | | | | |
| Disposition of Claims | | | | | | |
| · | , | • | | | | |
| 4)⊠ Claim(s) <u>33-59</u> is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. | | | | | | |
| 5) Claim(s) is/are allowed. | | | | | | |
| 6) Claim(s) is/are rejected. | | | | | | |
| 7) Claim(s) is/are objected to. | | | | | | |
| 8)⊠ Claim(s) <u>33-59</u> are subject to restriction and/or | election requirement. | | | | | |
| Application Papers | | • | | | | |
| | | | | | | |
| 9) The specification is objected to by the Examiner. 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner. | | | | | | |
| Applicant may not request that any objection to the | | | | | | |
| Replacement drawing sheet(s) including the correct | | • • | | | | |
| 11)☐ The oath or declaration is objected to by the Ex | - · · · | • | | | | |
| Driority under 25 U.S.C. \$ 440 | | · | | | | |
| Priority under 35 U.S.C. § 119 | | | | | | |
| 12) Acknowledgment is made of a claim for foreign. | priority under 35 U.S.C. § 119(a) |)-(d) or (f). | | | | |
| a) ☐ All b) ☐ Some * c) ☐ None of: 1. ☐ Certified copies of the priority documents have been received. | | | | | | |
| 1. Certified copies of the priority documents2. Certified copies of the priority documents | | on No | | | | |
| 3. Copies of the certified copies of the prior | • • | , | | | | |
| application from the International Bureau | • | od III tillo National Otago | | | | |
| * See the attached detailed Office action for a list | ` ` , , , | ed. | | | | |
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| Attachment(s) | | | | | | |
| 1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413) Paper No(s)/Mail Date | | | | | | |
| information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date | | Patent Application (PTO-152) | | | | |
| <u> </u> | | | | | | |

Art Unit: 1653

DETAILED ACTION

Election/Restrictions

- 1. Applicants response filed July 8, 2005 to the original restriction requirement of June 27, 2005 is acknowledged. However, upon review of the original restriction requirement, the examiner noted several errors leading to the conclusion that the original restriction requirement of June 27, 2005 was an incomplete restriction. In accordance, the previous restriction requirement is hereby withdrawn and is vacated. Any inconvenience that this may cause to Applicant is regrettable. The new restriction requirement is as follows.
- 2. Restriction is required under 35 U.S.C. 121 and 372.

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1.

In accordance with 37 CFR 1.499, applicant is required, in reply to this action, to elect a single invention to which the claims must be restricted.

Group I A, claims 33(a), 44, 46-50 and 52 drawn to a non-glycosylated erythropoietin with five glutamate substitutions at positions 24, 38, 83, 88 and 126; it's corresponding DNA and a process for producing the protein.

Group I B, claims 33(b), 44, 46-50 and 52 drawn to a non-glycosylated erythropoietin with five glutamate substitutions at positions 24, 38, 83, 88 and 126; it's corresponding DNA and a process for producing the protein.

Group I C, claims 33(c), 44, 46-50 and 52 drawn to a non-glycosylated erythropoietin with five glutamate substitutions at positions 24, 38, 83, 88 and 126; it's corresponding DNA and a process for producing the protein.

Group I D, claims 33(d), 44, 46-50 and 52 drawn to a non-glycosylated erythropoietin with five glutamate substitutions at positions 24, 38, 83, 88 and 126; it's corresponding DNA and a process for producing the protein.

Page 2

Art Unit: 1653

Group I E, claims 33(e), 44, 46-50 and 52 drawn to a non-glycosylated erythropoietin with five glutamate substitutions at positions 24, 38, 83, 88 and 126; it's corresponding DNA and a process for producing the protein.

Group I F, claims 33(f), 44, 46-50 and 52 drawn to a non-glycosylated erythropoietin with five glutamate substitutions at positions 24, 38, 83, 88 and 126; it's corresponding DNA and a process for producing the protein.

Group I G, claims 33(g), 44, 46-50 and 52 drawn to a non-glycosylated erythropoietin with five glutamate substitutions at positions 24, 38, 83, 88 and 126; it's corresponding DNA and a process for producing the protein.

Group I H, claims 33(h), 44, 46-50 and 52 drawn to a non-glycosylated erythropoietin with five glutamate substitutions at positions 24, 38, 83, 88 and 126; it's corresponding DNA and a process for producing the protein.

Group II, claims 33(i), 44, 46-50 and 52 drawn to a non-glycosylated erythropoietin with five glutamate substitutions at positions 24, 38, 83, 88 and 126; it's corresponding DNA and a process for producing the protein.

Group I J, claims 33(j), 44, 46-50 and 52 drawn to a non-glycosylated erythropoietin with five glutamate substitutions at positions 24, 38, 83, 88 and 126; it's corresponding DNA and a process for producing the protein.

Group I K, claims 33(k), 44, 46-50 and 52 drawn to a non-glycosylated erythropoietin with five glutamate substitutions at positions 24, 38, 83, 88 and 126; it's corresponding DNA and a process for producing the protein.

Group I L, claims 33(I), 44, 46-50 and 52 drawn to a non-glycosylated erythropoietin with five glutamate substitutions at positions 24, 38, 83, 88 and 126; it's corresponding DNA and a process for producing the protein.

Group I M, claims 33(m), 34, 44, 46-50 and 52 drawn to a non-glycosylated erythropoietin with five glutamate substitutions at positions 24, 38, 83, 88 and 126; it's corresponding DNA and a process for producing the protein.

Group I N, claims 33(n), 44, 46-50 and 52 drawn to a non-glycosylated erythropoietin with five glutamate substitutions at positions 24, 38, 83, 88 and 126; it's corresponding DNA and a process for producing the protein.

Group I O, claims 33(o), 44, 46-50 and 52 drawn to a non-glycosylated erythropoietin with five glutamate substitutions at positions 24, 38, 83, 88 and 126; it's corresponding DNA and a process for producing the protein.

Art Unit: 1653

Group I P, claims 33(p), 44, 46-50 and 52 drawn to a non-glycosylated erythropoietin with five glutamate substitutions at positions 24, 38, 83, 88 and 126; it's corresponding DNA and a process for producing the protein.

Group I Q, claims 33(q), 44, 46-50 and 52 drawn to a non-glycosylated erythropoietin with five glutamate substitutions at positions 24, 38, 83, 88 and 126; it's corresponding DNA and a process for producing the protein.

Group I R, claims 33(r), 44, 46-50 and 52 drawn to a non-glycosylated erythropoietin with five glutamate substitutions at positions 24, 38, 83, 88 and 126; it's corresponding DNA and a process for producing the protein.

Group I S, claims 33(s), 44, 46-50 and 52 drawn to a non-glycosylated erythropoietin with five glutamate substitutions at positions 24, 38, 83, 88 and 126; it's corresponding DNA and a process for producing the protein.

Group IT, claims 33(t), 44, 46-50 and 52 drawn to a non-glycosylated erythropoietin with five glutamate substitutions at positions 24, 38, 83, 88 and 126; it's corresponding DNA and a process for producing the protein.

Group I U, claims 33(u), 36, 44, 46-50 and 52 drawn to a non-glycosylated erythropoietin with five glutamate substitutions at positions 24, 38, 83, 88 and 126; it's corresponding DNA and a process for producing the protein.

Group I V, claims 33(v), 35, 44, 46-50 and 52 drawn to a non-glycosylated erythropoietin with five glutamate substitutions at positions 24, 38, 83, 88 and 126; it's corresponding DNA and a process for producing the protein.

Group II, claims 37-43, 45 and 53-59, drawn to a polymerized erythopoietic protein and a pharmaceutical composition containing the same, a process for preparing polymer-derivatized, non-glycosylated erythropoietic compounds and a method of increasing the hematocrit levels in a mammal by administering a therapeutically effective erythropoietic compound. For claim 43, ONE protein is to be selected from 33a-33v. This is NOT an election of species.

Group III, claim 51, drawn to a transgenic or chimeric non-human animal. The protein that is expressed in this transgenic animal is restricted to the election of ONE of 33a-33v. This is NOT a species election.

3. The inventions listed as Groups I-III do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or

Art Unit: 1653

corresponding special technical features for the following reasons: Groups I-III each have separate and different special technical features which do not permeate each of the groups and thus unity of invention is lacking. Each distinct technical feature for Groups I-III is as follows: Group I consists of non-glycosylated erythropoietin and derivatives thereof which links the protein to the DNA and the method of making the protein within Group I. Group II's special technical consists of polymerized non-glycosylated erythropoietin, which unifies within Group II, the polymerized non-glycosylated erythropoietin itself, the process of making it and the process of using it in a subject in order to decrease hematocrit levels. Finally, the special technical feature of Group III is a transgenic animal.

Therefore, the technical feature linking the inventions of Groups I-III does not constitute a special technical feature as defined by PCT Rule 13.2, as it does not differentiate the claimed subject matter as a whole over the prior art. Since according to PCT Rule 13.2 the presence of such a common or corresponding special technical feature is an absolute prerequisite for unity to be established, and given that there does not appear to be any other technical feature common to the claimed subject matter as a whole which might be able to fulfill this role, the currently claimed subject matter lacks unity of invention according to PCT Rule 13.1.

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement may be traversed (37 CFR 1.143).

Art Unit: 1653

3. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Suzanne M. Mayer, Ph.D. whose telephone number is

571-272-2924. The examiner can normally be reached on Monday to Friday, 8.30am to

5.00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Jon Weber can be reached on 571-272-0925. The fax phone number for

the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the

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you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free).

SMM

19 August 2005

MARYAM MONSHIPOURI, PH.D. PRIMARY EXAMINER Page 6